Value Engineering for the Optimisation of Micro-Hydro Power Plant in Kampung Assum, Sarawak

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Abstract

Most rural locations in East Malaysia are not electricity grid connected. These places rely heavily on high-cost diesel fuels for generating electric power, which can be environmentally unfriendly. Most of all, this situation hampered the potential growth in terms of economic productivity and developments in these rural locations. However, with the vast network of streams and rivers available in Sarawak, there are high prospective of constructing micro-hydro power plant as electricity generating alternative. Efforts and studies on micro hydro power plants have been put in place. However, there exists a gap in the field of study of optimized design and construction of micro-hydropower system. This project proposed a Value Engineering (VE) study in the civil and structure sections of microhydro plant in Kampung Assum, Sarawak in order to achieve high functional value for the facility. VE Job Plan was utilized as the backbone of this research study framework. VE calculation modelling is produced and conventional micro-hydro power plant is designed using the modelling as control baseline design. Ideas to further optimise and improve the value of the micro-hydro system are generated and evaluated, which are then compared with the control baseline design. Determination of value for micro-hydropower system is dependent on the cost incurred and the efficiency of the civil and structural components as a whole. The chosen idea is then used to the next development phase which notable changes in parameters of micro-hydro civil structures are further determined to improve the value. In this study, evaluating the micro-hydro civil components as a whole with adjustments made to modify the overall output data are discovered to be the prominent idea. From this study, the optimisation proposed could improved the functional value of the micro-hydro power plant in Kampung Assum by 17%.

Keywords: Value Engineering, Optimisation, Micro-Hydro, Power, Plant, Kampung Assum, Sarawak

1. Introduction

Value Engineering (VE), is defined as a multi-disciplinary, team oriented, structured, analytical process and systematic analysis of function. It seeks the best value via the design and construction process to meet the intended purposes or perceived needs (Jaapar, 2004). This method often leads to reduced costs and improved quality of the end product. The main objective of conducting VE is to generate cost improvement without sacrificing the intended performance levels. VE ensures quality,

Manuscript History: Received 1 August, 2019, Revised 25 September, 2019, Accepted 28 September, 2019, Published 30 September, 2019

e-ISSN: 2289-7771



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reliability, performance that correlates with the client's expectations. In addition to that, identifications of faults and problems are part of VE objectives. VE studies are based on the faults and problems of existing projects and produce alternatives that are creative productive and economically to solve the problems and faults. VE can be applied in any stages during the project life cycle. However, optimum stages to apply VE are during the initial stages of a project to achieve maximum benefits for VE applications (Sadawi, 2008).

There are several procedures and techniques that could be adopted in construction projects. The most prominent procedure of conducting VE study is the VE Job Plan. It is an application of recognized tool and techniques by multidisciplinary team to identify and categorize the functions of a project to create, develop and select alternative approach to efficiently deliver the function and improve performance while reducing costs. According to Davis, 2004; Liu & Leung, 2002, Alwerfalli & Schaaf, 2010, there are seven (7) phases in total in the VE Job Plan namely: information phase, function analysis phase, creative phase, evaluation phase, development phase, presentation phase and implementation phase. The ultimate goal of VE is to determine the value of the projects conducted where

 $\text{Value} = \frac{\textit{Function+Quality}}{\textit{Cost}}$

(Source: Dell'Isola, 1988)

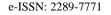
As Malaysia progresses towards a developed nation status which it hopes to be achieved at the year 2020, the contributions of the construction industry in Malaysia to the development of the nation are of utmost importance. This includes development of the rural areas and construction of renewable and sustainable energy facilities. In Sarawak alone, a total of 155 potential sites are identified for hydropower facilities, where many are suitable for micro-hydro power plants. Furthermore, series of micro-hydro power plants are expected to be introduced to 47 villages of Sarawak, an initiative by the people's Institute of Business and Economy and United Nations Economic and Social Commission for Asia and the Pacific (The Malaysian Reserve, 2014).

2. Problem statement

According to Locke (2001), application of VE in the construction industry can generate return of investment of 30:1. With an initial investment of 22 million, the estimated total financial savings can be generated up to RM 670 million. There is, however, lack of VE studies on the optimization of micro-hydro power plant which has gained popularity as an option for rural energy supply. Due to the high potential of micro-hydro development all across Sarawak, application of VE in micro-hydro projects are becoming necessary to be incorporated into the feasibility and design for micro-hydro power plant projects to ensure proper evaluation of function and cost. It is essential to provide an optimized and highly functional micro-hydro to rural communities that are separated from local grid lines especially the rural areas of Sarawak as it is their only power source.

VE has proven track records around the world for the ability to save costs while improving the functionality and performance of the intended activity. It is the main aim of this study to carry out an in-depth study of the value of micro-hydro power plant designed for rural locations in Sarawak. Using Kampung Assum, Sarawak as a case study, VE study was proposed. Several aspects of the micro-hydro components are taken into consideration for VE study purposes. Among the general design consideration that can be determined in the micro-hydro design are (Steven, 2003):

- i) Overall Stability-The whole structure should not sink, float, overturn, slide or imposed excess loads on the ground.
- ii) Element Design-The reinforced concrete walls/bases should have sufficient reinforcement and adequate thickness.





iii) Materials-Considerations on whether materials are adequate or appropriate depending on the surroundings and environmental conditions.

As such, VE studies and analysis were carried on civil and structural components of microhydro power plant proposed. Civil and structural works are significant and critical components that have high potential for design improvements and cost savings based on a risk and value approach (Ong, 2003). This aspect is crucial to develop a more efficient and appropriate technology for the rural community of Sarawak. Among the civil and structural components under study are: Intake structure/ Orifice, Headrace Canal, Penstock, Spillway, Forebay Tank, Settling Basin.

3. Methodology

The following provides the overall methodology used in this study.

a) Pre-workshop Study

Pre-workshop study is conducted in the initial stage of this VE study. Among the studies done during this stage includes preliminary studies and feasibility studies. These studies are done by obtaining relevant information on the project through interviews, gathering past data and geological data as shown in Figure 1 and Figure 2.

Features	Preliminary Survey Data
Gross Head	80 m
Measured Flow	0.3 m ³ /s
Least Flow	0.25 m ³ /s
Design Flood Level	0.5m above water level
Water Level	0.8 m
Design Discharge	0.10 m ³ /s
Sedimentation Weight of Sediment Particles	2.65
Particle Diameter	0.0002
Temperature of Water	28
River Source	Kampung Assum, Sungai Sebarong
Power	15000 Watts / 15 kW
No of Household Demand	100 Households

Figure 1. Preliminary data for Kampung Assum (preliminary studies).



Parameters	Description
Surrounding Environment	Kampung Assum are considered as rural area and the access route, clean water and electricity supply are not available in the village.
	Located next to Sungai Sebarong where water flow is constant.
Current Available Electricity Supply	Generator set are available at few household but are of high maintenance.
	Government supply generator set are being swept away in big flood in year 2003 are since then been abandoned.
	No reliable source of electricity supply at the moment.
Topography	Suitable for installation of hydro-powered generator as the village is located only 3 kilometers from the river.
	Less suitable for other renewable alternatives such as solar and wind powered generator due to thick forest and lack of constant wind.

Figure 2. Feasibility studies for Kampung Assum micro-hydro power plant.

b) Workshop Study

During the workshop study, information phase, function analysis phase, creative phase and evaluation phase were executed. During this stage, technical data was interpreted and creative ideas were produced to improve and ameliorate the value of micro-hydro power plant under study. Interviewees who are experienced with micro-hydro projects and hydro projects were invited to the workshop. Six (6) individuals comprising of engineers, contractors and researchers were invited to give their opinions of the study.

3. Results and analysis

The following presents the results of the analysis of Kampung Assum micro-hydro power plant civil and structural component.

Information Phase - the basic component of micro-hydro power plant for civil and structural component are described in the following Figure 3.



Civil Components	Functions
Weir and intake	 Extract water from river in a controllable manner Regulate water flow during high and low river flow Raise water level to ensure constant supply to the water intake
Headrace Canal	- Conducts water from the intake to the settling basin
Settling Basin	 Ensure hard abrasive sediment does not passes through Flush sediments that settles periodically
Spillways	 To permit controlled overflow of the micro-hydro system Act as a flow regulator for channel
Forebay Tank	 Act as a reservoir to store water Trash rack are installed to filter particles entering penstock
Penstock	- Convey water under pressure to the powerhouse

Figure 3: Basic function of civil component in micro-hydro power plant.

Function Analysis - functions of micro-hydro power plant are brainstormed and produced. The primary outcomes are analysed and arranged in accordance to its relativity and significance. Definition of function for micro-hydro power plant in Kampung Assum is produced and shown in Figure 4.

Theme	Function	Primary	Secondary	Others
Develop a VE	Supply reliable and efficient			
methodology for	generation of electricity	1		
Micro Hydro Project	through micro hydro power			
in Kampung Assum	plant			
	Ensure optimisation of civil			
	components in micro hydro			
	power plant	· ·		
	To maximise the value of micro			
	hydro power plant through			
	costing evaluation	1		
	Improve living standard of		/	
	villagers in Kampung Assum			
	Ensure natural environment are			
	preserved and not affected by			
	development			′

Figure 4: Definition of function for micro-hydro power plant in Kampung Assum.

From the primary functions defined, these functions were then further level out in accordance to its relativity and significance as shown in Table 1. This step would be repeated for every primary function.

Journal of Applied Science

e-ISSN: 2289-7771

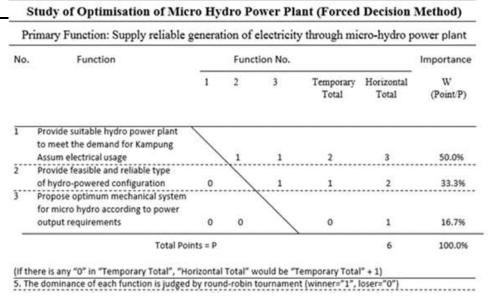
First Level	Second Level	Third Level
Maximize value of micro hydro power plant through costing evaluation	Selection of civil structures components that are cost effective	Compare the cost of different materials used in civil structures against the output respectively Adjust the cross-sectional area or the size of
		civil component to compare the output against the cost incurred
		Selection of materials that are readily available locally to save on transportation
		costs
	Determining of the return	Evaluate the cost saved for the long run as
	on investment for	compared to other alternatives
	construction of micro-	Determine the initial cost and compare with
	hydro in Kampung Assum	other alternatives
		Ascertain the cost against the function of the
		micro-hydro power plant project in
		Kampung Assum

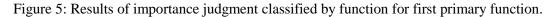
Table 1: Significance and relativity of function arrangement for first primary function

After defining and arranging the functions for the micro-hydro project in Kampung Assum, the importance level are then ranked and evaluated as shown it the following sections. Dominations between the functions are then determined. The domination of functions uses Forced Decisions approach (Ando, 2003) as shown in Figure 5. From the analysis done, selection of structure components that are cost effective are the most important function which scored at 66.7%. Determining the return of investment for the construction of micro-hydro came in after the latter at 33.3%. This shows a strong focus in the analysis of structural components for the plant.









Creative Phase - Idea deposition are initiated during this phase. The ideas and suggestions provided and proposed are illustrated. In order to facilitate the process of creative phase, a VE calculation model for micro-hydro power plant calculation is created for the study. Design technical calculations focused on six different civil components in micro-hydro power plant which is side intake, headrace canal, spillway, settling basin, penstock and forebay tank.

Figure 6 to 11 below show each of the calculations for six (6) civil and structural components produced for the VE calculation sheets.

Features	Parameters	Units
Design Flow	Qrequired	m3/s
Normal Water Level in River	hr	m
Design Flood Level	hf	m
Velocity of Water Passing Through Orifice	v	m/s
Area of Orifice	A=Q x V	m2
Water Level at Headrace Canal	hh	m
Delivery of Side Intake	Qdesign=A.V=A.CV2.g(hr- hh)	m3/s 1/s
Critical Delivery of Side Intake	Qflood=A.V=A.CV2.g(hf- hh)	m3/s 1/s

Output Data

Figure 6: Design of orifice/side intake.



Features	Parameters	Units
Design Flow	Qrequired	m3/s
Roughness coefficient	n	
Side Slope	N=h/v	
Velocity Passing in Headrace Canal	v	m/s
Cross Sectional Area for Headrace Canal	A=Q/V	m2
Determining Coefficient	χ=2√(1+N^2)-2N	
Depth of Canal	H=VA/(χ+N)	m
Bed Width of Canal	B=Hxχ	m
Top Width of Canal	T=B+(2HN)	m
Critical Velocity	Vc=V(Ag/T)	m/s
80% of Critical Velocity	0.8Vc	m/s
Wetted Perimeter of Canal	P=B+2HxV(1+N^2)	m
Hydraulic Radius	R=A/P	m
Bed Slope	S=(nV/R^0.667)^2	
Bed Slope Ratio (1:Ans)	s	
Head Loss	LxS	m
Optimal Height for Canal Bed	н	
	d=11RS	m
Largest Particle Size		mm

Output Data

•
•

Features	Parameters	Unit	
Ratio of Width of Settling Basin and Headrace Canal			
Width of Settling Basin	w	m	
Design Flow	Q	m^3/s	
Sedimentation Weight of Sediment Particles	Ϋ́s	g/cm^3	
Specific Weight of Water	Ťw	g/cm^3	
Temperature of Water	10 I	°C	
Kinetic Viscosity	v		
Particle Diameter	d	m	
Fall Velocity	Vvertical	m/s	
Settling Length	Lsetting=(2xQ/WxVvertical)	m	
Expected Silt Load	Sload=QxTxC	kg	
Volume of Silt Load	VOSilt=Sload/(Sdensity/Pfactor)	m3	
Depth Required for Average Silt Collection	Dotection=VOsit/(LsettlingXW)	m	

Input Data

Output Data

Figure 8: Design of settling basin.

eatures Parameters		Units
Penstock Loss Calculation		
Diameter of Pipe	dpipe	m
Penstock Length	L	m
Gross Head	Hg	m
Design Discharge	Q	l/s
Average Velocity in Pipe	V=1273x(Q/d^2)	m/s
Friction Head Loss	Hf=12.8x(V^2xL/d)x(1/(log(1/37d+1/(93.8Vxd^0.9)2)	m
Net Head	Hn¤Hg-Hf	m
Penstock Efficiency	Npen=(Hn/Hgix100%	%
Penstock Thickness Calculation		
Penstock Thickness	t	mm
% of flow stopped	1	%
Valve Closure Time	Tclose	seconds
Corrosion Allowance	tcor	mm
Overall Safety Factor	SF	
Wave Velocity	Vwave=V(2.1e8xt)/(100t+d)	m/s
Penstock Critical Time	Tcrit=2L/Vwave	second
Surge Head (Kc)	Kc=((LxzxV)/(980HgxTclose))^2	
Surge Head (Toose <torit)< td=""><td>Hsurge=Hg((Kc/2)+vKc+Kc^2/4)</td><td>m</td></torit)<>	Hsurge=Hg((Kc/2)+vKc+Kc^2/4)	m
Total Head at Surge	Htot=Hsurge+Hg	m
Required Penstock Thickness	trag=(HtotxdxSFtot/83700)+tcor	mm

Input Data

Figure 9 Design of penstock pipe.

Design of Forebay Tank

Features Parameters	Units	
Submergence Head	hs≥1.5V^2/2g	m
Young Modulus of Penstock Material	E	
Safety Factor	F	
Diameter of Air Vent	d^2=QV((F/E){D/teffective}^3)	mm

Input Data

Output Data

Figure 10: Design of forebay tank.

Design of Spillway Units Features Parameters Length of Spillway Lspitway=(Qflood-Qdesign)/Cw(httood-hsp)^1.5 m Lspillway=(Qflood)/Cw(hflood-hsp)^1.5 Length of Spillway (Critical) m Flood Flow via Intake Qflood m3/s m3/s **Design Flow in Headrace Canal** Qdesign Height of Flood Level in Canal hflood m Height of Spillway Crest from Canal Bed hip m Overtop Height hovertop m Coefficient of Weir Cw Legend:

Output Data

Figure 11: Design of spillway.



Figure 12 below shows the overall baseline efficiency, cost and value for every civil and structural component for a conventional micro-hydro power plant design that was used for Kampung Assum. The value of each component is rated as mentioned in previous Equation (1).

Value of Overall Micro-Hydi		Crat	Mahar
Civil Component	Efficiency	Cost	Value
Orifice / Side Intake	100	5821.1848	1.717863346
Headrace Canal	99.07378186	6588.435064	1.503752878
Settling Basin	100	3955.976891	2.527820631
Penstock	94.09090909	11424.49818	0.823588989
Forebay Tank	100	3381.660394	2.95712722
		Total Value	9.530153064
		Average Value	1.906030613

Evaluation Phase - During the evaluation process, the VE team compared every idea with the baseline concept to determine whether it was better than or equal to, or worse than the original design concept. In addition to that, advantages and disadvantages of the idea proposed were determined for the idea proposed during the creative phase. There were altogether six (6) ideas proposed as shown in Table 2.

Table 1: Deposition of ideas for Kampung Assum micro-hydro power plant design ~ civil and structural components

Description/Ideas	Tools/Technique				
	Methodology	Materials	Design		
1. No action	No changes	No changes	No changes		
2. To evaluate each civil	Each component is	Nil	Design of each civil		
component and adjust to	analyzed separately and		component are		
its optimum performance	lastly to produce an		changed to satisfy		
individually	optimum overall result		the optimum output		
			for individual		
			component		
3.Evaluate the micro-	Only civil component that	Materials may	Design is		
hydro civil components as	are affected is adjusted of	be adjusted to	considered and		
a whole where	its parameters to improve	compliment the	adjusted according		
adjustments made to	the overall performance	parameter of	to the overall		
modify overall output		civil structure	output performance		
		before and after			
4. Determine the	Ascertain the margin of	Nil	Design parameters		
minimum sections,	safety and the provided		is minimized and		
thickness and areas to	sections parameter in order		reduced within		
reduce the parameters	to minimize wastages of		safety limits		
mentioned in order to	material from over-				
reduce costs	designing of civil				
	components				



5. Selection of materials that are cost effective to reduce construction costs	Replace materials with more cost friendly materials which are available locally to reduce transportation costs	Changes of materials that are less costly	Nil
6. Integrate the civil components with the topography to reduce the landscaping cost	Understand the topography of the proposed micro- hydro location and integrate the civil component design within it	Nil	Design parameters are according to local topography and values are variable with different site of micro hydro

From the value obtained, the VE team then rated each of the ideas based in the value improvement or degradation and the advantages and disadvantages are listed out as shown in the following Figure 13. Below are the summary for efficiency, costing, value and ranking of each ideas produced by the VE team.

Idea No.	Efficiency (%)	Price (RM)	Value	Significance (%)	Ranking
1	96.58	31, 171.76	1.906	16.61	3/4
2	97.86	31, 571.44	1.903	16.58	5
3	99.47	31, 356.30	1.950	17.00	1
4	91.57	30, 329.78	1.882	16.40	6
5	97.22	29, 513.55	1.906	16.61	3/4
6	87.50	27, 180.04	1.928	16.80	2
Total	1		11.475	100	

Figure 13: Summary for Efficiency, Costing Value and Ranking

According to the summary above, Idea 3 is ranked the highest and hence the idea is adopted and integrated in the upcoming micro-hydro power plant. Idea 3 proposed is to evaluate the micro-hydro civil components as a whole where adjustments made to modify overall output. This implies that the modifications and alteration are made from the output data perspective to optimize the micro hydro components as a whole. Hence, among the changes that are made to the VE modelling are:

- Area of orifice / side intake: 0.09 m2 (control: 0.13 m2)
- Water level at headrace canal: 0.4 m (control: 0.5m)
- Side slope for headrace canal: 0.8 (control: 0.5)



- Velocity passing in headrace canal: 0.8 m/s (control: 0.9 m/s)
- Diameter of penstock: 0.3 m (control: 0.25 m)
- Penstock Thickness: 2 mm (control: 2.5 mm)
- Safety factor of forebay tank: 3 (control: 5)

The changes made for Idea 3 are based on the alteration of parameters for all the civil structures based on the output data given. Hence the summary of the results are produced for Idea 3 as shown in the following Figure 14.

The costing for the overall micro hydro power plant is RM 31, 356.30. There is a slight increase of RM 184.50 as compared to the control design which can be considered as negligible changes.

Efficiency Rating

Description	Formulae	Results	Units	
Headrace Canal Efficiency	(Hg-HeadLoss)/Hg x 100	99.3025507	%	
Penstock Efficiency	Πpen=(Hn/Hg)x100%	99.62839067	%	

In terms of efficiency shown in Figure 15, both components achieved 99.30% and 99.63% for headrace canal and penstock respectively. Both of the civil components achieved an improvement of 0.23% for headrace canal and 5.54% for penstock as compared to the control design.

Value of Overall Micro-Hydro

Civil Component	Efficiency	Cost	Value
Orifice / Side Intake	100	5814.6664	1.719789118
Headrace Canal	99.3025507	6588.435064	1.507225157
Settling Basin	100	3955.976891	2.527820631
Penstock	99.62839067	11825.80947	0.842465718
Forebay Tank	100	3171.415746	3.153165905
	· · · · · · · · · · · · · · · · · · ·	Total Value	9.750466528
		Average Value	1.950093306

Figure 15: Overall value for micro-hydro.

As stated in Figure 16, the average value achieved is 1.95 which sees an improvement from 1.906 of the control design. It is a major value improvement of value where the difference is 0.044.

According to the evaluation of proposed idea 3, it is rated at 5 as there is a very high potential for further development. It is as such because there is a huge leap of value increment as compared to the control design. In addition to that, efficiency, maintainability, constructability, environmental impact, overall construction cost and operational cost are all accounted for where it scored better than the control design. Hence, idea 3 is taken and being considered into further development stage.



No.	Description / Ideas	Advantages	tages Disadvantages		
3	Evaluate the micro-hydro civil components as a whole where adjustments made to modify overall output	structures are determined as a whole structure and		structures are not definite and not specific	
Efficiency	Maintainability	Constructability	Environmental Impact	Overall Construction Cost	Operational Cost
V	~	4	4	V	~
<u>Rating</u> 5.0	Comments / Justifications The idea is preceded as it has	high potential.	·		1

Figure	16:	Evaluation	of	proposed	idea no	o. 3.
0				reree		

4. Conclusion

V. CONCLUSION

Hydropower project offers great opportunities for sustainable energy development for rural areas. Micro-hydro power plants are deemed the cheapest, domestic and renewable resource energy (Maximilien, 2013). Hence, strategies in rural Sarawak development in terms of electrical supply need to include micro-hydropower system as it is one of the more reliable sources of renewable energy generation. In this study, focus is placed on developing a micro-hydro project in Kampung Assum though VE procedures and methodologies.

In pre-workshop studies, preliminary data and feasibility study data are obtain from Kampung Assum adjacent river, Sungai Sebarong. According to the preliminary data obtained, the gross head, measured flow, least flow and water level is suitable for small power generation system. Further analysis of the data shows that micro-hydro power plant is the suitable choice for the proposed site. From the preliminary report data, it is also noted that 100 households will be utilizing the power generating system where initial assumption of one household electrical usage is about 150 Watts. In addition to that, feasibility studies are conducted to ensure that constructing a micro-hydro power plant at Kampung Assum is reasonable. The results from the feasibility studies further prove that micro-hydro construction at Kampung Assum is feasible and the location is in very favorable conditions.

During workshop study, there were several different phases conducted for VE evaluation process. The process included information phase, function analysis stage, creative phase, development and evaluation phase and presentation phase. During information phase, the functions of civil components of micro-hydro power plant were determined and eventually the basic layouts of micro hydro were drafted out.

For creative phase, ideas to improve the value of micro-hydro power plant were being generated. There are two main criteria in determining and evaluating the value of micro-hydro power plant. Firstly, the quality aspect of the micro-hydro power plant for civil structures. Quality of the micro-hydro power plant are determined and evaluated in such a way that it is not over-designed or under-design. Performance, reliability and durability of the micro-hydro plant falls in the determination of quality aspect. In addition to that, material selection also plays a crucial role in determining the quality component of the micro-hydro power plant. Other criteria to consider when evaluating and determining the value of micro-hydro power plant is the costing factor of the plant.



Costing of micro-hydro power plants is very important as there are budgets involved. Basically, it acts as a determining factor on whether micro-hydro power plant is able to have more financial feasibility as compared to other energy supply alternatives.

A control baseline design was produced so that comparison can be made with design that has done VE studies and applications. The baseline cost for constructing micro hydro power plant is RM 31, 171.76. Besides that, the efficiency rating for headrace canal is 99.07% and penstock efficiency rating at 94.09%. Overall average value of the control design is rated at 1.906. This output result is based on the conventional practice when designing a micro hydro power plant (Upadhayay, 2009).

This study shows that evaluation of the micro-hydro civil components as a whole where adjustments made to modify overall output is the most prominent idea. Hence, from the tabulated findings, it was determined the most significant idea that could greatly affect the overall micro-hydro value where the idea helped in improving the efficiency and reduced the cost required to construct the power plant. This study demonstrates that VE studies can be conducted on micro-hydro power plant construction projects. From this study, it is found out that there is an improvement of value up to 17% from the original design. There are significant improvement of the efficiency of headrace canal and penstock where overall cost was reduced through VE practices.

Acknowledgements

The authors would like to acknowledge the funding provided for this project. The project is carried out under the Universiti Malaysia Sarawak SGS grant no: 02(S137)/1112/2014(02)

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e-ISSN: 2289-7771

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